

Review of lattice results on η , η'

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Introduction

Quarks cannot be observed directly but are bound in hadrons (at low energies):

- The lightest hadrons $\pi^\pm, \pi^0, K^\pm, K^0, \bar{K}^0, \eta$ (“octet mesons”) have masses from 135 MeV to 548 MeV.
- In addition there is a “flavor-singlet”, the η' .
- For exact flavor symmetry ($m_u = m_d = m_s$) all 9 mesons should have the same mass.

However: $M_{\eta'} \approx 958 \text{ MeV} \gg M_{\text{octet}}$

Theoretical solution to this puzzle in QCD:

- Large mass of the η' is caused by the QCD vacuum structure and the $U(1)_A$ anomaly.

Weinberg (1975), Belavin et al. (1975), t'Hooft (1976), Witten (1979), Veneziano (1979)

- The $U(1)$ axial current is anomalously broken, i.e. even for $m_q = 0$:

Adler (1969), Jackiw and Bell (1969)

$$\partial_\mu A_\mu^0 = \frac{N_f g^2}{32\pi^2} G_{\mu\nu}^a \tilde{G}^{a,\mu\nu} \neq 0$$

- Instantons with non-trivial topology provide non-perturbative explanation.

Belavin et al. (1975), t'Hooft (1976)

- The flavor-singlet η' remains massive as $m_l, m_s \rightarrow 0$.

Use lattice QCD to reproduce η' mass from first principles.

Introduction

For exact $SU(3)$ flavor symmetry one expects

- Flavor octet state $|\eta_8\rangle = \frac{1}{\sqrt{6}}(|\bar{u}u\rangle + |\bar{d}d\rangle - 2|\bar{s}s\rangle)$ (Pseudo-Goldstone boson)
- Flavor singlet state $|\eta_0\rangle = \frac{1}{\sqrt{3}}(|\bar{u}u\rangle + |\bar{d}d\rangle + |\bar{s}s\rangle)$ (related to $U(1)_A$ anomaly)

However, $SU(3)$ flavor symmetry is **broken by large $m_s \gg m_u \approx m_d \equiv m_l$** :

- Physical η, η' states are not flavor eigenstates but **mixtures**, e.g.

$$\begin{pmatrix} |\eta\rangle \\ |\eta'\rangle \end{pmatrix} = \begin{pmatrix} \cos\theta_0 & -\sin\theta_8 \\ \sin\theta_0 & \cos\theta_8 \end{pmatrix} \begin{pmatrix} |\eta_0\rangle \\ |\eta_8\rangle \end{pmatrix} \quad \text{or} \quad \begin{pmatrix} |\eta\rangle \\ |\eta'\rangle \end{pmatrix} = \begin{pmatrix} \cos\phi_l & -\sin\phi_s \\ \sin\phi_l & \cos\phi_s \end{pmatrix} \begin{pmatrix} |\eta_l\rangle \\ |\eta_s\rangle \end{pmatrix}$$

in the **octet-singlet basis** or the **quark flavor basis** $|\eta_l\rangle = \frac{1}{\sqrt{2}}(|\bar{u}u\rangle + |\bar{d}d\rangle)$, $|\eta_s\rangle = |\bar{s}s\rangle$.

- Additional mixing possible in the physical world, e.g. with π^0 (for $m_u \neq m_d$), η_c , ...
- Mixing parameters related to further observables, e.g. $\Gamma_{\eta, \eta' \rightarrow \gamma\gamma}, \lim_{Q^2 \rightarrow \infty} Q^2 F_{\eta, \eta' \rightarrow \gamma\gamma}(Q^2)$.
- Very recently: Direct LQCD calculations of $\eta, \eta' \rightarrow \gamma^* \gamma^*$ transition form factors (TFFs)

LQCD can be used to determine mixing parameters and TFFs.

Outline

- 1 How are η, η' simulated on the lattice?
→ Why are these calculations particularly challenging?
- 2 Overview on LQCD studies of η, η' .
- 3 Results for masses, mixing parameters, LECs and TFFs.
→ Focus on physical results with controlled systematics
- 4 Summary and outlook

η, η' on the lattice

Information on masses and mixing is encoded in (expectation values of) meson two-point correlation functions:

$$C_{ij}(t) \sim \sum_{\mathbf{x}} \langle 0 | O_i(\mathbf{x}) O_j^\dagger(0) | 0 \rangle$$

- For η, η' use local pseudoscalar (or axialvector) **interpolating operators** $O_{i,j}$, e.g.:

$$\eta_l = \frac{1}{\sqrt{2}} (\bar{u} i \gamma_5 u + \bar{d} i \gamma_5 d), \quad \eta_s = \bar{s} i \gamma_5 s, \quad \eta_c = \bar{c} i \gamma_5 c$$

→ Choice of basis (quark flavor, octet-singlet) relevant for mixing.

- For e.g. $i = j$:
$$C_{ii}(t) = \sum_{n=\eta, \eta', \dots} \frac{|\langle 0 | O_i | n \rangle|^2}{2M_n} \exp(-M_n t) \xrightarrow{t \gg 0} \frac{|\langle 0 | O_i | \eta \rangle|^2}{2M_\eta} \exp(-M_\eta t)$$

→ Ground state mass M_η can be extracted directly at sufficiently large t .

→ Decay constants / mixing parameters related to physical amplitudes $A_i^\eta = \langle 0 | O_i | \eta \rangle$.

- Higher states (η') from solving GEVP:
$$C(t) v^{(n)}(t, t_0) = \lambda^{(n)}(t, t_0) C(t_0) v^{(n)}(t, t_0)$$

→ **Eigenvalues** $\lambda^{(n)}(t, t_0)$ give mass of n -th state at $t \gg 0$.

→ **Eigenvectors** $v^{(n)}(t, t_0)$ carry information on physical amplitudes $A_{l,s,\dots}^{\eta, \eta'}, \dots, A_{8,0,\dots}^{\eta, \eta'}$.

- Alternatively: Obtain masses and matrix elements from multi-state fits to $C(t)$.

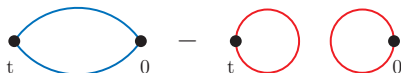
Quark disconnected diagrams

- Consider $O_i = O_j = \eta_I$:

$$\mathcal{C}_{II}(t) \sim \sum_x \langle 0 | \eta_I(x) \eta_I^\dagger(0) | 0 \rangle$$

$$\sim \text{tr} [D_{0t}^{-1} \gamma_5 D_{t0}^{-1} \gamma_5] - \text{tr} [D_{tt}^{-1} \gamma_5] \text{tr} [D_{00}^{-1} \gamma_5]$$

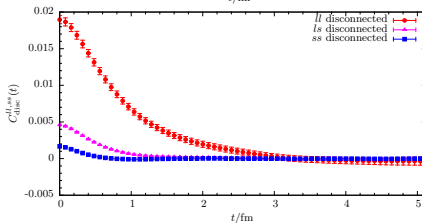
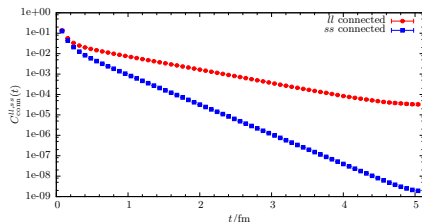
- Quark-**connected** and **-disconnected** pieces:



- Lattice Dirac operator D_{xy} is a very large $(3 \cdot 4 \cdot L^3 \cdot T) \times (3 \cdot 4 \cdot L^3 \cdot T)$ - matrix

- Mixing mediated by quark-disconnected diagrams only, i.e. through $\mathcal{C}_{ls,sl}(t)$

- Disconnected diagrams need **all-to-all** propagator $D_{xx}^{-1} \Rightarrow$ **prohibitively expensive**
- Use stochastic method instead (+ e.g. one-end trick)



Quark-connected and disconnected correlators;
tmWilson+Clover, $M_\pi = 139 \text{ MeV}$, $a = 0.080 \text{ fm}$

Quark disconnected diagrams

- Consider $O_i = O_j = \eta_I$:

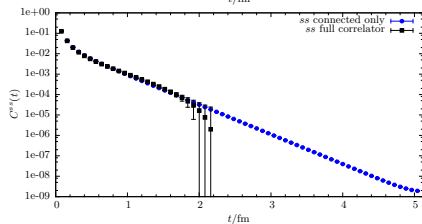
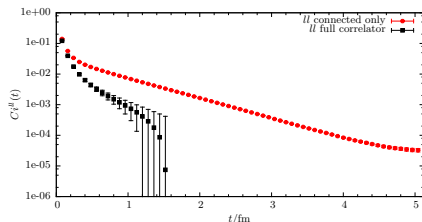
$$C_{II}(t) \sim \sum_x \langle 0 | \eta_I(x) \eta_I^\dagger(0) | 0 \rangle$$

$$\sim \text{tr} [D_{0t}^{-1} \gamma_5 D_{t0}^{-1} \gamma_5] - \text{tr} [D_{tt}^{-1} \gamma_5] \text{tr} [D_{00}^{-1} \gamma_5]$$

- Quark-**connected** and -**disconnected** pieces:



- Lattice Dirac operator D_{xy} is a very large $(3 \cdot 4 \cdot L^3 \cdot T) \times (3 \cdot 4 \cdot L^3 \cdot T)$ - matrix
- Mixing mediated by quark-disconnected diagrams only, i.e. through $C_{Is,sl}(t)$



Quark-connected vs. full correlators;
tmWilson+Clover, $M_\pi = 139 \text{ MeV}$, $a = 0.080 \text{ fm}$

- **Very severe signal-to-noise problem; signal lost at $t \gtrsim 1 \text{ fm}$ even for η**
- **Computations always limited by gauge statistics; careful analysis required.**

Obtaining physical results

- **Fix bare parameters ($a, m_l, m_s, \dots$):**

- Use known hadronic quantities (e.g. $M_\pi^{\text{phys}}, M_K^{\text{phys}}, \dots$) $\rightarrow$ Further observables are predictions.

- **Control discretization effects:**

- Simulate at different (small) values of a .
- **Perform continuum extrapolation.**
- With modern LQCD calculations lattice artifacts are typically $\propto a^2$.

- **Correct for unphysical quark masses:**

- Simulate at several light and strange quark masses, or tune $m_s = m_s^{\text{phys}}$
- **Perform chiral extrapolation.**
- State-of-the-art lattice simulations include physical quark masses.
- Can determine LECs from fits to unphysical masses.

- **Control finite volume effects:**

- Simulate several physical volumes.
- **Perform infinite volume extrapolation / make sure that FS effects are negligible $M_\pi L \gtrsim 4$.**

Overview of $N_f = 2$ studies

- Mostly older studies; spread over 2-3 decades, often unclear systematics:
 - typically $\mathcal{O}(1)$ ensembles
 - no continuum and / or chiral limit
 - no scale setting available ...
 - No direct correspondence to the physical world; no mixing, only a single “ η_0 ”
 - Overall agreement for M_{η_0} good; little M_π -dependence.
 - m_l^{phys} reached in 2019 by ETMC.
- Confirmed $M_{\eta_0} \neq 0$ in chiral limit.
- Further applications: tests of $N_f = 2$ Veneziano-Witten formula, glueball mixing, $\eta_c \rightarrow \gamma\gamma$, $J/\psi \rightarrow \eta_0 \gamma$...

e.g. Dimopoulos et al., PRD 99, 034511 (2019), Jiang et al., PRD 107, 094510 (2023)
Liu et al., PRD 102, 034502 (2020), Jiang et al., PRL 130, 061901 (2023)

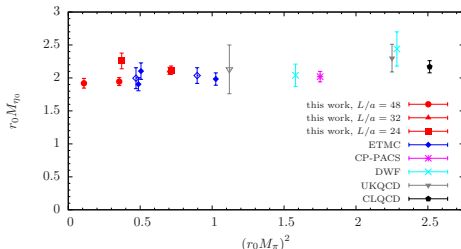


Figure reproduced from PRD 99, 034511 (2019). $N_f = 2$ data from:

ETMC:	PRD 99, 034511 (2019)
ETMC:	Eur. Phys. J. C 58, 261 (2008)
CP-PACS:	PRD 67, 074503 (2003)
DWF:	Prog. Theor. Phys. 119, 599 (2008)
UKQCD:	PRD 70, 014501 (2004)
CLQCD:	Chin. Phys. C 42, 093103 (2018)

(even older studies exist)

→ $N_f = 2$ flavor singlet studies should be considered a closed chapter.

Overview of $N_f = 2 + 1$ and $N_f = 2 + 1 + 1$ studies

Lattice calculations including dynamical strange quarks exist since $\lesssim 15$ years:

- Several older studies (e.g. single / few ensembles, no phys. extrapolation, large uncertainties ...)

Dudek et al., PRD 83, 111502 (2011)
Ottstad et al., NPB 896 470-492 (2015)

Gregory et al., PRD 86, 014504 (2012)
Bali et al., PRD 91, 014503 (2015)

Ottstad et al., JHEP 11 (2012) 048
Fukaya et al., PRD 92, 111501 (2015)

- UKQCD 2010: Early attempt of a chiral extrapolation on three DWF ensembles. Christ et al., PRL 105, 241601 (2010)

- ETMC 2013-2023: First physical results for $M_{\eta, \eta'}$, ϕ and $f_{l,s}$, VW formula, $\eta \rightarrow \gamma\gamma$ TFF

Michael et al., PRL 111, 181602 (2013)

Cichy et al., JHEP 09 (2015) 020

Ottstad et al., PRD 97, 054508 (2018)

Alexandrou et al., PRD 108, 054509 (2023)

→ Update including several ensembles at physical quark mass: work in progress

- RQCD 2021: Physical results for masses + mixing parameters on CLS ensembles Bali et al., JHEP 08 (2021) 137

→ Axialvector + gluonic matrix elements, scale dependence, determination of NLO U(3) χ PT LECs

- BMW 2023/????: Physical results for $\eta, \eta' \rightarrow \gamma\gamma$ TFFs on staggered ensembles Gerardin et al., arXiv:2305.04570

→ Planned publication on masses / mixing (not yet available); cf. remark in arXiv:2305.04570

- CSSM/QCDSF/UKQCD 2021: First, very exploratory QCD+QED study Kordov et al., PRD 104, 114514 (2021)

Overview of $N_f = 2 + 1$ and $N_f = 2 + 1 + 1$ studies

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→ Remaining talk: **Studies with physical results.**

ETMC '18: Setup and masses

Ottinad et al., PRD 97, 054508 (2018)

- 17 ensembles with $N_f = 2+1+1$ flavors of Wilson twisted-mass quarks generated by ETMC.
- $M_\pi \in [220, \dots, 490]$ MeV,
 $a \in [0.061, 0.081, 0.089]$ fm.
- Automatic $\mathcal{O}(a)$ improvement.
- Ansatz for phys. extrapolations ($P = \eta, \eta'$):

$$(r_0 M_P)^2 = (r_0 \hat{M}_P)^2 + \sum_{i=\pi, K} c_i (r_0 M_i)^2 + c_\beta \left(\frac{a}{r_0} \right)^2.$$

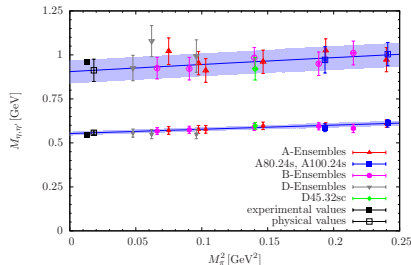
- Scale setting: Sommer parameter $r_0 = 0.474(14)$ fm.
Carrasco et al., NPB 887 (2014) 19-68
- Results in good agreement with experiment.**
- Results for $M_{\eta, \eta'}$ also agree with 2013 analysis.

Michael et al. PRL 111, 181602 (2013)

- $M_{\eta, \eta'}$ used as input for VW formula test:

$$\chi_\infty^{\text{YM}} = (185.3(5.6))_{\text{stat+sys}} \text{ MeV}^4 \quad \text{vs.} \quad \chi_\infty^{\text{dyn}} = (182.6(8.3))_{\text{stat+sys}} \text{ MeV}^4$$

Cichy et al., JHEP 09 (2015) 020, Ottinad, PoS CD2018 (2019) 077



Physical results:

$$M_\eta = 557(11)_{\text{stat}}(03)_{\chi PT} \text{ MeV}$$

$$M_{\eta'} = 911(64)_{\text{stat}}(03)_{\chi PT} \text{ MeV}$$

ETMC '18: Mixing

Ottinad et al., PRD 97, 054508 (2018)

- Computed pseudoscalar matrix elements

$$H_P^i = 2m_i \langle 0 | P^i | P \rangle, \quad P = \eta, \eta',$$

- Related to axial vector matrix elements through χ PT (with $1/N_c$ counting):

$$\begin{pmatrix} H_{\eta}^I & H_{\eta}^S \\ H_{\eta'}^I & H_{\eta'}^S \end{pmatrix} = \begin{pmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{pmatrix} \text{diag} \left(f_I M_{\pi}^2, f_S \left(2M_K^2 - M_{\pi}^2 \right) \right).$$

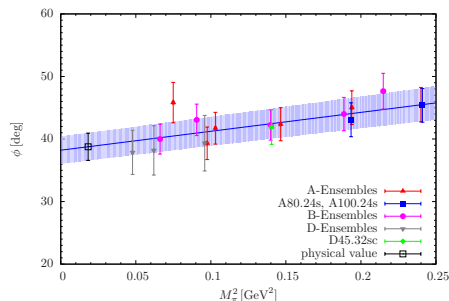
- Scale dependence neglected in Feldmann-Kroll-Stech (FKS) scheme.

Feldmann et al., PRD 58, 114006 (1998), PLB 449 (1999) 339-346

- Splitting $|\phi_I - \phi_S|$ expected to be small: Only $1/N_c$ corrections, no SU(3) flavor breaking terms at NLO.

Physical result:

$$\phi_{\text{phys}} = 38.8^\circ (2.2)_{\text{stat}} (2.4)_{\chi\text{PT}}$$



Confirmed by lattice data, i.e. $|\phi_I - \phi_S| = 2.8(1.1)_{\text{stat}}(2.6)_{\chi\text{PT}}^\circ$

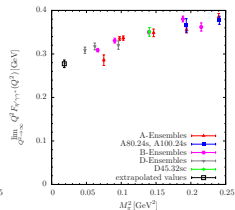
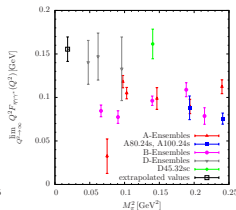
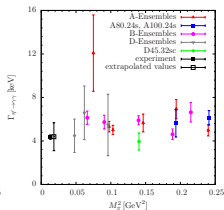
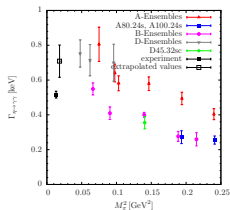
Excellent agreement with pheno results, e.g. $\phi^{\text{pheno}} = 39.3^\circ(1.0)$. Feldmann, Int. J. Mod. Phys. A15 (2000) 159-207

First ever lattice results for η, η' decay constant parameters:

$$\begin{aligned} (f_I/f_{\pi})_{\text{phys}} &= 0.960(37)_{\text{stat}}(46)_{\chi\text{PT}} \rightarrow f_{I,\text{phys}} = 125(5)_{\text{stat}}(6)_{\chi\text{PT}} \text{ MeV} \\ (f_S/f_K)_{\text{phys}} &= 1.143(23)_{\text{stat}}(04)_{\chi\text{PT}} \rightarrow f_{S,\text{phys}} = 178(4)_{\text{stat}}(1)_{\chi\text{PT}} \text{ MeV} \end{aligned}$$

ETMC '18: $\Gamma_{\eta, \eta' \rightarrow \gamma\gamma}$ and TFFs at large- Q^2

Ottinad et al., PRD 97, 054508 (2018)



χ PT relates ϕ , f_l , f_s to decay widths and large- Q^2 behavior of TFFs:

$$\Gamma_{\eta \rightarrow \gamma\gamma} = \frac{\alpha_{\text{QED}}^2 M_\eta^3}{288\pi^3} \cdot \left[\frac{5 \cos \phi}{f_l} - \frac{\sqrt{2} \sin \phi}{f_s} \right]^2, \quad \hat{F}_{\eta\gamma\gamma^*} \equiv \lim_{Q^2 \rightarrow \infty} Q^2 F_{\eta\gamma\gamma^*}(Q^2) = \frac{\sqrt{2}}{3} \cdot [5f_l \cos \phi - \sqrt{2}f_s \sin \phi],$$

$$\Gamma_{\eta' \rightarrow \gamma\gamma} = \frac{\alpha_{\text{QED}}^2 M_{\eta'}^3}{288\pi^3} \cdot \left[\frac{5 \sin \phi}{f_l} + \frac{\sqrt{2} \cos \phi}{f_s} \right]^2, \quad \hat{F}_{\eta'\gamma\gamma^*} \equiv \lim_{Q^2 \rightarrow \infty} Q^2 F_{\eta'\gamma\gamma^*}(Q^2) = \frac{\sqrt{2}}{3} \cdot [5f_l \sin \phi + \sqrt{2}f_s \cos \phi].$$

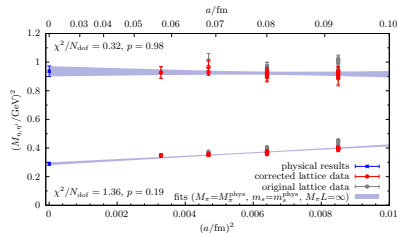
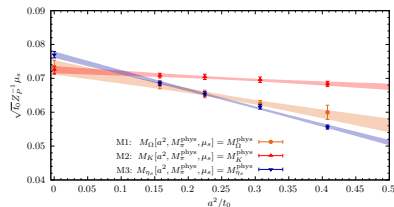
$$\Gamma_{\eta \rightarrow \gamma\gamma} = 0.71(9)_{\text{stat}}(7)_{\chi\text{PT}} \text{ keV}, \quad \hat{F}_{\eta\gamma\gamma^*} = 155(14)_{\text{stat}}(23)_{\chi\text{PT}} \text{ MeV},$$

$$\Gamma_{\eta' \rightarrow \gamma\gamma} = 4.4(1.3)_{\text{stat}}(0.6)_{\chi\text{PT}} \text{ keV}, \quad \hat{F}_{\eta'\gamma\gamma^*} = 277(09)_{\text{stat}}(01)_{\chi\text{PT}} \text{ MeV}.$$

- Decay widths in reasonably good agreement with PDG values.
- However: Scale dependence neglected; potential issue for $\hat{F}_{\eta'\gamma\gamma^*}$, $\hat{F}_{\eta\gamma\gamma^*}$

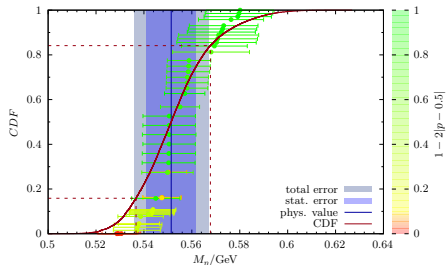
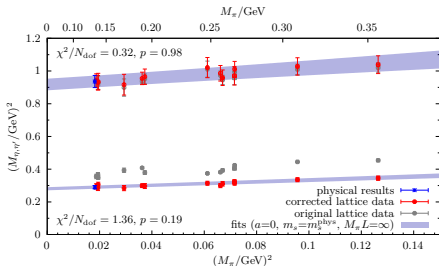
ETMC '24 (preliminary): Setup

- 15 ensembles with $N_f = 2 + 1 + 1$ twisted mass Wilson+Clover quarks.
- $M_\pi \in [138, \dots, 350]$ MeV, physical m_s^{sea}
- 3 ensembles at $m_{l,s}^{\text{phys}}$, 6 with $M_\pi < 200$ MeV.
- Fits dominated by ensembles at $m_{l,s}^{\text{phys}}$
- Four values of $a \in [0.057, \dots, 0.092]$ fm.
- Osterwalder-Seiler discretization for valence strange quarks.
Osterwalder et al., Annals Phys. 110 (1978) 440
- Use three choices for μ_s^{val} -matching
→ different approach to the continuum
- Fully controlled systematics / error budget;
separation of stat and sys. errors from model averaging.
- $\mathcal{O}(100)$ model variations per observable



$$\{\mu_s\text{-matchings}\} \otimes \{\text{CCF models}\} \otimes \{\text{data cuts}\}$$

ETMC '24 (preliminary): Masses



Physical results:

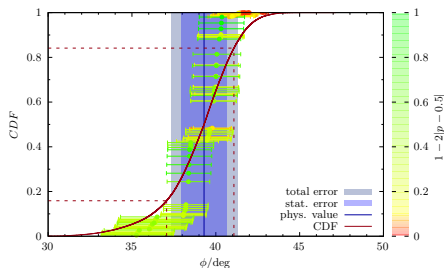
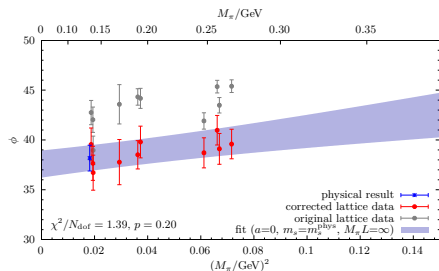
$$M_\eta = 551(10)_{\text{stat}}(12)_{\text{sys}}[16]_{\text{total}} \text{ MeV}, \quad M_{\eta'} = 971(19)_{\text{stat}}(06)_{\text{sys}}[20]_{\text{total}} \text{ MeV}$$

- Excellent agreement with experiment ($M_\eta^{\text{exp}} = 547.862(17) \text{ MeV}$, $M_{\eta'}^{\text{exp}} = 957.78(6) \text{ MeV}$).
- Error on $M_{\eta'}$ improved by factor ~ 3 compared to our previous results

$$M_\eta = 557(11)_{\text{stat}}(03)_{\chi PT} \text{ MeV}, \quad M_{\eta'} = 911(64)_{\text{stat}}(03)_{\chi PT} \text{ MeV}$$

- Improved control over systematic effects (chiral + continuum + FS).
- Scale setting: $\sqrt{t_0^{\text{phys}}} = 0.14436(61) \text{ fm}$.

ETMC '24 (preliminary): Mixing



Physical result:

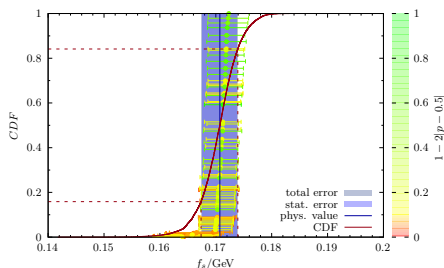
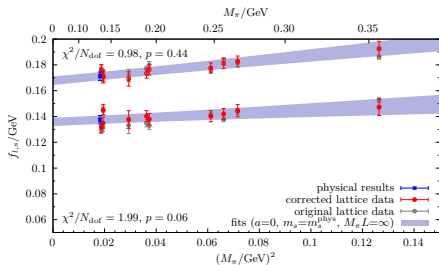
$$\phi = 39.6(1.4)_{\text{stat}}(1.5)_{\text{sys}}[2.0]_{\text{total}}^{\circ}$$

- $\Delta\phi$ improved by factor ~ 1.5 compared to old result $\phi = 38.8(2.2)_{\text{stat}}(2.4)_{\chi_{PT}}^{\circ}$.
- Value for ϕ in excellent agreement with pheno determinations, e.g.

	ϕ_I	ϕ_s	
R. Escribano et al. (2016)	$39.6(2.3)^{\circ}$	$40.8(1.8)^{\circ}$	PRD 94 (2016), 054033
R. Escribano et al. (2015)	$39.3(1.2)^{\circ}$	$39.2(1.2)^{\circ}$	EPJC 75, 414 (2015)
Th. Feldmann (2000)	$39.3(1.0)^{\circ}$	$39.3(1.0)^{\circ}$	Int. J. Mod. Phys. A 15 (2000)

- Compatible with RQCD $\phi_I(\mu=2\text{ GeV}) = 36.2(1.1)_{\text{stat}}(1.3)_{\text{sys}}^{\circ}$ and $\phi_s(\mu=2\text{ GeV}) = 37.9(1.0)_{\text{stat}}(1.4)_{\text{sys}}^{\circ}$, although scale dependence is neglected in FKS scheme. [Bali et al., JHEP 08 \(2021\) 137](#)

ETMC '24 (preliminary): Decay constant parameters



Physical results:

$$f_l = 138.3(4.0)_{\text{stat}}(1.8)_{\text{sys}}[4.4]_{\text{total}} \text{ MeV}, \quad f_s = 170.7(3.2)_{\text{stat}}(1.2)_{\text{sys}}[3.3]_{\text{total}} \text{ MeV}$$

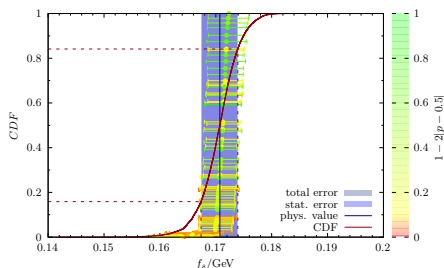
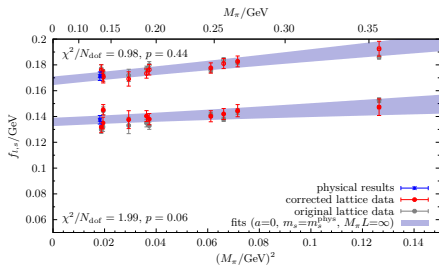
- f_l increased, f_s decreased compared to 2018 analysis, i.e.

$$f_l = 125(5)_{\text{stat}}(6)_{\chi PT} \text{ MeV}, \quad f_s = 178(4)_{\text{stat}}(1)_{\chi PT} \text{ MeV}$$

- Improved control over systematic effects of physical extrapolations; particularly for f_l .
- Physical extrapolation of ratios: $f_l/f_\pi = 1.057(28)_{\text{stat}}(27)_{\text{sys}}$ and $f_s/f_K = 1.105(20)_{\text{stat}}(13)_{\text{sys}}$

$$\Rightarrow f_l = 137.6(3.6)_{\text{stat}}(3.5)_{\text{sys}} \text{ MeV}, \quad f_s = 172.0(3.1)_{\text{stat}}(2.3)_{\text{sys}} \text{ MeV}$$

ETMC '24 (preliminary): Decay constant parameters



Physical results:

$$f_l = 138.3(4.0)_{\text{stat}}(1.8)_{\text{sys}}[4.4]_{\text{total}} \text{ MeV}, \quad f_s = 170.7(3.2)_{\text{stat}}(1.2)_{\text{sys}}[3.3]_{\text{total}} \text{ MeV}$$

- Errors on f_s quite competitive; new analysis in better agreement with pheno results for f_l , e.g.

	f_l	f_s	
R. Escribano et al. (2016)	134.2(5.2) MeV	177.2(5.2) MeV	PRD 94 (2016), 054033
R. Escribano et al. (2015)	139.6(12.7) MeV	181.0(18.3) MeV	EPJC 75, 414 (2015)
Th. Feldmann (2000)	139.3(2.5) MeV	174.5(7.8) MeV	Int. J. Mod. Phys. A 15 (2000)

- RQCD results at $\mu = 2 \text{ GeV}$ shows tension for f_l , but good agreement for f_s :

$$f_l = 124.9(1.7)_{\text{stat}}(4.2)_{\text{sys}}(1.6)_{t_0} \text{ MeV}, \quad f_s = 175.8(2.4)_{\text{stat}}(3.8)_{\text{sys}}(2.3)_{t_0} \text{ MeV}$$

Bali et al., JHEP 08 (2021) 137

RQCD '21: Setup

Bali et al., JHEP 08 (2021) 137

- 21 ensembles with $N_f = 2 + 1$ Wilson-Clover quarks generated by CLS.

- Two quark mass trajectories, i.e. $\text{tr}[M] = \text{const}$ and $m_s \approx m_s^{\text{phys}}$

- $M_\pi \in [135 \dots 420] \text{ MeV}$

- Four lattice spacings $a \in [0.050 \dots 0.086] \text{ fm}$

- Computation of axialvector matrix elements:

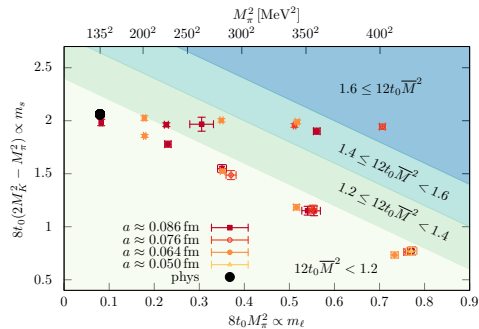
$$\langle 0 | A_\mu^i | P \rangle = i F_P^i p_\mu, \quad P = \eta, \eta', \quad i = 0, 8$$

→ **direct extraction of $F_{0,8}$** $\theta_{0,8}$ ($f_{l,s}$, $\phi_{l,s}$)

- Phys. extrapolation using NLO large- N_c χ PT. → determination of LECs, i.e. F , M_0 , $L_{5,8}$, $\Lambda_{1,2}$

- Study of scale dependence.

- Gluonic matrix elements $a_P(\mu) = \langle 0 | 2\omega | P \rangle$, $P = \eta, \eta'$ from singlet axial Ward identity (AWI).



RQCD '21: Physical extrapolation

Bali et al., JHEP 08 (2021) 137

Physical extrapolation using NLO large- N_c χ PT

$$(\mu_8^{\text{NLO}})^2 = (\mu_8^{\text{LO}})^2 + \frac{8}{3F^2} (2L_8 - L_5) \delta M^4,$$

$$(\mu_0^{\text{NLO}})^2 = (\mu_0^{\text{LO}})^2 + \frac{4}{3F^2} (2L_8 - L_5) \delta M^4 - \frac{8}{F^2} L_5 \overline{M}^2 M_0^2 - \tilde{\Lambda} \overline{M}^2 - \Lambda_1 M_0^2,$$

$$(\mu_{80}^{\text{NLO}})^2 = (\mu_{80}^{\text{LO}})^2 - \frac{4\sqrt{2}}{3F^2} (2L_8 - L_5) \delta M^4 + \frac{4\sqrt{2}}{3F^2} L_5 M_0^2 \delta M^2 + \frac{\sqrt{2}}{6} \tilde{\Lambda} \delta M^2,$$

$$F_\eta^8 = F \left[\cos \theta + \frac{4L_5}{3F^2} \left(3 \cos \theta \overline{M}^2 + (\sqrt{2} \sin \theta + \cos \theta) \delta M^2 \right) \right],$$

$$F_{\eta'}^8 = F \left[\sin \theta + \frac{4L_5}{3F^2} \left(3 \sin \theta \overline{M}^2 + (\sin \theta - \sqrt{2} \cos \theta) \delta M^2 \right) \right],$$

$$F_\eta^0 = -F \left[\sin \theta \left(1 + \frac{\Lambda_1}{2} \right) + \frac{4L_5}{3F^2} \left(3 \sin \theta \overline{M}^2 + \sqrt{2} \cos \theta \delta M^2 \right) \right],$$

$$F_{\eta'}^0 = F \left[\cos \theta \left(1 + \frac{\Lambda_1}{2} \right) + \frac{4L_5}{3F^2} \left(3 \cos \theta \overline{M}^2 - \sqrt{2} \sin \theta \delta M^2 \right) \right],$$

LO expressions:

$$(\mu_8^{\text{LO}})^2 = \overline{M}^2 + \frac{1}{3} \delta M^2,$$

$$(\mu_0^{\text{LO}})^2 = \overline{M}^2 + M_0^2,$$

$$(\mu_{80}^{\text{LO}})^2 = -\frac{\sqrt{2}}{3} \delta M^2,$$

$$\theta = \frac{1}{2} \arctan \left(\frac{-2\sqrt{2} \delta M^2}{3M_0^2 - \delta M^2} \right),$$

where

$$\overline{M}^2 = \frac{2}{3} (2m_l + m_s),$$

$$\delta M^2 = 2B_0(m_s - m_l),$$

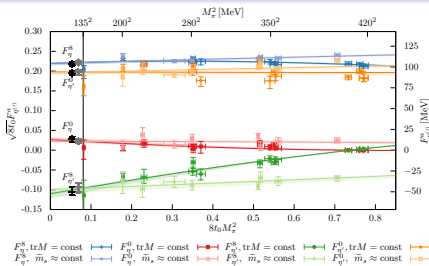
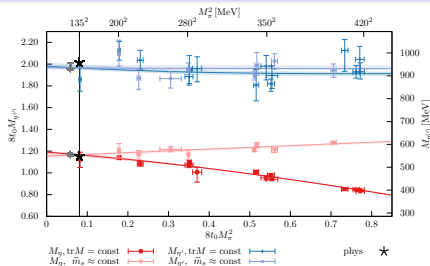
$$M_0^2 = M_0^2(\mu) = \frac{2N_f}{F_\pi^2} \chi_t.$$

 $\tilde{\Lambda} = \Lambda_1(\mu) - 2\Lambda_2(\mu)$ is scale independent. L_i are different from $SU(3)$ LECs!

- Masses and matrix elements share same LECs F , M_0 (LO), L_5 , L_8 , $\Lambda_1(\mu)$, $\Lambda_2(\mu)$ (NLO)
- Simultaneous fits** for masses $M_{\eta, \eta'}$ and matrix elements $F_{\eta, \eta'}^{0,8}$.
- Complemented by terms for lattice artifacts

RQCD '21: Masses and mixing parameters

Bali et al., JHEP 08 (2021) 137



Physical results:

$$M_\eta = 554.7^{(4.0)}_{(6.6)\text{stat}} {}^{(2.4)}_{(2.7)\text{sys}} (7.0)_{t_0} [9.2]_{\text{total}} \text{ MeV}$$

$$M_{\eta'} = 929.9^{(12.9)}_{(6.0)\text{stat}} {}^{(22.9)}_{(3.3)\text{sys}} (11.7)_{t_0} [21]_{\text{total}} \text{ MeV}$$

$$F^8 = 115.0^{(1.1)}_{(1.2)\text{stat}} {}^{(1.6)}_{(2.4)\text{sys}} (1.5)_{t_0} [2.8]_{\text{total}} \text{ MeV}$$

$$\theta_8 = -25.8^{(1.2)}_{(2.1)\text{stat}} {}^{(2.3)}_{(0.3)\text{sys}} [2.3]_{\text{total}}^\circ$$

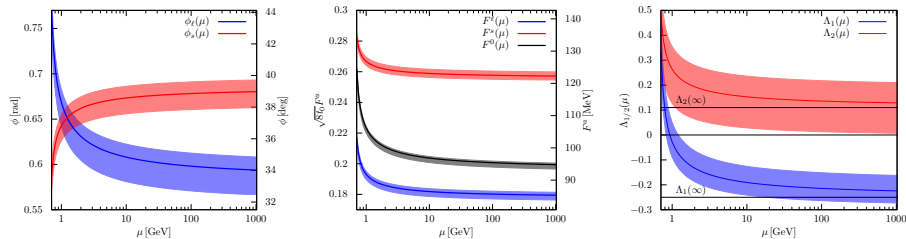
$$F^0(\mu = 2 \text{ GeV}) = 110.1^{(7.0)}_{(1.9)\text{stat}} {}^{(2.0)}_{(2.7)\text{sys}} (1.3)_{t_0} [3.0]_{\text{total}} \text{ MeV}$$

$$\theta_0 = -8.1^{(1.0)}_{(1.1)\text{stat}} {}^{(1.5)}_{(1.1)\text{sys}} [1.8]_{\text{total}}^\circ$$

- Masses in agreement with experiment.
- First direct determination of mixing parameters including scale dependence also in quark flavor basis.
- Systematic errors from spread of various fit variations, data cuts ...
- However: $\chi^2/N_{\text{dof}} = 179/122 = 1.47$ of best fit still gives $p < 0.001$.

RQCD '21: $U(3)$ χ PT LECs and scale dependence

Bali et al., JHEP 08 (2021) 137



Results for LECs:

$$M_0(\mu = \infty) = 761^{(13)}_{(21)\text{stat}} (18)_{(11)\text{sys}} (11)_{t_0} [27]_{\text{total}} \text{ MeV} \quad F = 87.71^{(1.44)}_{(1.57)\text{stat}} (2.69)_{(0.81)\text{sys}} (1.31)_{t_0} [2.8]_{\text{total}} \text{ MeV}$$

$$\Lambda_1(\mu = \infty) = -0.25^{(1)}_{(4)\text{stat}} (6)_{(2)\text{sys}} [5]_{\text{total}} \quad L_5 = +1.66(11)_{\text{stat}} (20)_{\text{sys}} [23]_{\text{total}} \times 10^{-3}$$

$$\Lambda_2(\mu = \infty) = +0.11^{(5)}_{(5)\text{stat}} (7)_{(10)\text{sys}} [10]_{\text{total}} \quad L_8 = +1.08(09)_{\text{stat}} (09)_{\text{sys}} [13]_{\text{total}} \times 10^{-3}$$

- Results agree reasonably well with pheno determinations.

Leutwyler, NPB Proc. Suppl. 64 (1998) 223 Benayoun et al., EPJ C 17 (2000) 593 Guo et al., JHEP 06 (2015) 175 Bickert et al., PRD 95, 054023 (2017)

- Results for scale-invariant combinations: $M_0/\sqrt{1+\Lambda_1} = 877^{(12)}_{(10)\text{stat}} (21)_{(8)\text{sys}} (13)_{t_0} \text{ MeV}$ and $\tilde{\Lambda} = -0.46(19)$.

- Test of Feldmann-Kroll-Stech scheme: **Valid if $\Lambda_1(\mu)$ is small, i.e. for $0.8 \text{ GeV} \lesssim \mu \lesssim 1.5 \text{ GeV}$.**

RQCD '21: Gluonic matrix elements

Bali et al., JHEP 08 (2021) 137

Renormalized gluonic matrix elements $a_P(\mu) = \langle 0 | \omega | P \rangle$ via singlet AWI:

$$\partial_\mu A_\mu^0 = \frac{2}{3} (2m_l + m_s) P^0 - \frac{2\sqrt{2}}{3} (m_s - m_l) P^8 + \sqrt{6} \omega$$

from axialvector MEs F_P^0 and pseudoscalar MEs $H_P^{0,8}$ ($P = \eta, \eta'$):

$$a_P(\mu) = \sqrt{\frac{2}{3}} \left(M_P^2 F_P^0(\mu) + \frac{2\sqrt{2}}{3} (m_s - m_l) H_P^8 - \frac{2}{3} (2m_l + m_s) H_P^0 \right)$$

Physical results:

$$a_\eta(\mu = 2 \text{ GeV}) = 0.01700 \left({}^{40}_{69} \right)_{\text{stat}} (48)_{\text{sys}} (66)_{t_0} \text{ GeV}^3$$

$$a_{\eta'}(\mu = 2 \text{ GeV}) = 0.0381 \left({}^{18}_{17} \right)_{\text{stat}} (80)_{\text{sys}} (17)_{t_0} \text{ GeV}^3$$

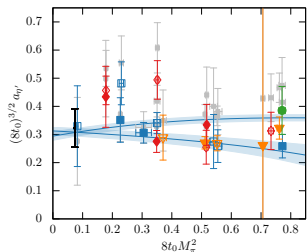
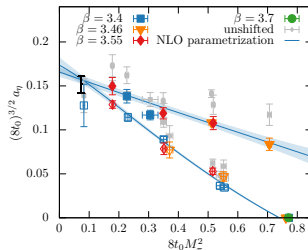
- Mixing angle $\theta_y = \arctan \frac{a_\eta}{a_{\eta'}} = -24.0 \left({}^{4.0}_{1.0} \right)_{\text{stat}} (3.2)_{\text{sys}}^\circ$.

- Branching ratio for $J/\psi \rightarrow \eta^{(\prime)} \gamma$: NPB 165 (1980) 55-66

$$R(J/\psi) = \frac{\Gamma[J/\psi \rightarrow \eta' \gamma]}{\Gamma[J/\psi \rightarrow \eta \gamma]} \approx \frac{a_{\eta'}^2}{a_\eta^2} \cdot \left(\frac{k_{\eta'}}{k_\eta} \right)^3.$$

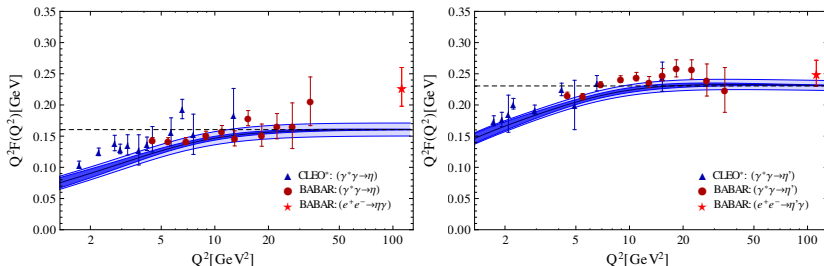
(assuming anomaly dominates)

At $\mu = 2 \text{ GeV}$: $R(J/\psi) = 5.03 \left({}^{19}_{45} \right)_{\text{stat}} (1.94)_{\text{sys}}$ in agreement with PDG value $R(J/\psi) = 4.74(13)$.



RQCD '21: TFFs at large- Q^2

Bali et al., JHEP 08 (2021) 137



Using results for matrix elements as input for

$$\hat{F}_{P\gamma\gamma^*} \equiv \lim_{Q^2 \rightarrow \infty} F_P = \frac{2}{\sqrt{3}} \left(F_P^8 + 2\sqrt{2} F_P^0 (N_f = 4, \mu = \infty) \right), \quad P = \eta, \eta'$$

$$\hat{F}_{\eta\gamma\gamma^*} = 160.5(10.0) \text{ MeV}, \quad \hat{F}_{\eta'\gamma\gamma^*} = 230.5(10.1) \text{ MeV}$$

- Bands from evaluating QCD predictions (disp. rel. + LCSR) using lattice results. [Agaev et al., PRD 90, 074019 \(2014\)](#)
- Reasonable agreement with existing experimental data.
- Agreement for $\hat{F}_{\eta\gamma\gamma^*}$ with ETMC '18 result ($\hat{F}_{\eta\gamma\gamma^*} = 155(14)_{\text{stat}}(23)_{\chi PT} \text{ MeV}$).
- Effect of scale dependence enhanced in $\hat{F}_{\eta'\gamma\gamma^*}$.

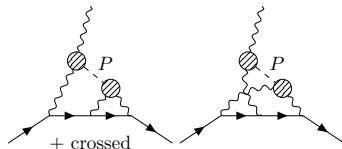
$P \rightarrow \gamma\gamma$ transition formfactors

$P = \pi_0, \eta, \eta'$ transition formfactors contribute to the LO HLbL scattering in the muon anomalous magnetic moment.

TFF is related to Euclidean P -to-vacuum transition amplitude:

Ji et al., PRL 86, 208 (2001)

$$\tilde{A}_{\mu\nu}(\tau) \equiv \int d^3\mathbf{x} e^{-i\mathbf{q}_1 \cdot \mathbf{x}} \langle 0 | T \{ j_\mu(\tau, \mathbf{x}) j_\nu(0) \} | P(\mathbf{p}) \rangle$$



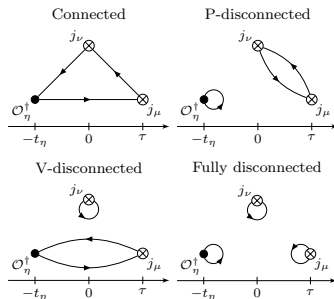
via

$$\epsilon_{\mu\nu\alpha\beta} q_1^\alpha q_2^\beta \mathcal{F}_{P \rightarrow \gamma^* \gamma^*}(q_1^2, q_2^2) = -i^{n_0} \int_{-\infty}^{\infty} d\tau e^{\omega_1 \tau} \tilde{A}_{\mu\nu}^P(\tau),$$

On the lattice: Need to compute three-point functions

$$C_{\mu\nu}(\tau, t_\eta) \equiv \int d^3\mathbf{x} d^3\mathbf{y} e^{-i\mathbf{q}_1 \cdot \mathbf{x}} e^{i\mathbf{p} \cdot \mathbf{y}} T \{ j_\mu(\tau, \mathbf{x}) j_\nu(0) \mathcal{O}_\eta^\dagger(-t_\eta, \mathbf{y}) \}.$$

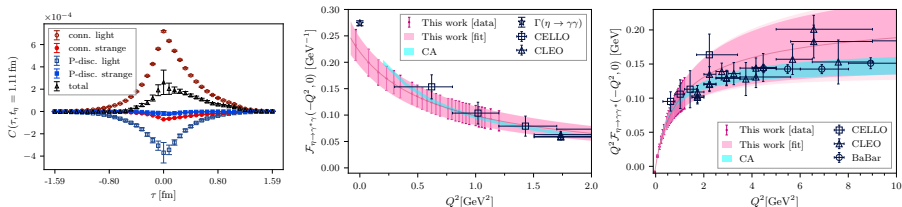
- $j_{\mu,\nu}$ el-mag. currents; $\mathcal{O}_\eta$ interpolating operator(s)
- η (groundstate): $\tilde{A}_{\mu\nu}(\tau) = \lim_{t_\eta \rightarrow \infty} \frac{2E_\eta}{Z_\eta} e^{E_\eta t_\eta} C_{\mu\nu}(\tau, t_\eta)$
- η' needs **state projection** (GEVP $\rightarrow$ eigenvectors)



Signal2noise problem: only fairly small values of $t_{\eta, \eta'} \lesssim 1$ fm accessible (unlike for π^0).

ETMC '23: $\eta \rightarrow \gamma\gamma$ TFF

Alexandrou et al., PRD 108, 054509 (2023)



- Study of $\mathcal{F}_{\eta \rightarrow \gamma^* \gamma^*}(Q_1^2, Q_2^2)$ on a physical quark mass ensemble ($a = 0.080$ fm) with $N_f = 2 + 1 + 1$ tmWilson+Clover quarks.

- Parametrization of TFF by z-expansion Gerardin et al., PRD 100, 034520 (2019)

$$\mathcal{F}_{\eta \rightarrow \gamma^* \gamma^*}^{(z-\exp, N)}(-Q_1^2, -Q_2^2) = \left(1 + \frac{Q_1^2 + Q_2^2}{M_V^2}\right)^{-1} \sum_{n, m=0}^{N \leq 2} c_{nm} \left(z_1^n - (-1)^{N+n+1} \frac{n}{N+1} z_1^{N+1}\right) \left(z_2^m - (-1)^{N+m+1} \frac{m}{N+1} z_2^{N+1}\right)$$

- Results for single-virtual TFF in agreement with experimental data

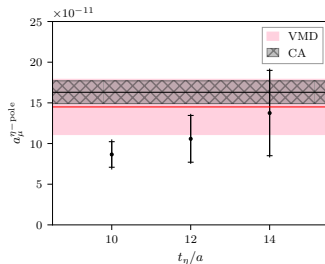
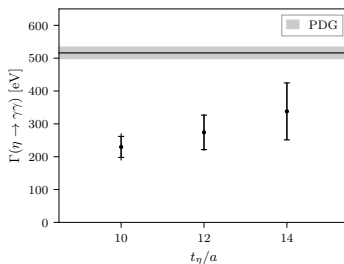
CELLO: Behrend et al., Z. Phys. C4 9, 401 (1991) CLEO: Gronberg et al., PRD 57, 33 (1998) BABAR: Aubert et al., PRD 80, 052002 (2009)

and Canterbury approximant estimate Masjuan et al., PRD 95, 054026 (2017)

- However, some tension at the $\sim 2\sigma$ level at small Q^2 .

ETMC '23: $\eta \rightarrow \gamma\gamma$ TFF - results

Alexandrou et al., PRD 108, 054509 (2023)



Result for decay width $\Gamma(P \rightarrow \gamma\gamma) = \frac{\pi \alpha_{\text{em}}^2 m_P^3}{4} |\mathcal{F}_{P \rightarrow \gamma\gamma}(0,0)|^2$:

$$\Gamma(P \rightarrow \gamma\gamma) = 338(87)_{\text{stat}}(17)_{\text{sys}}[88]_{\text{total}} \text{eV}$$

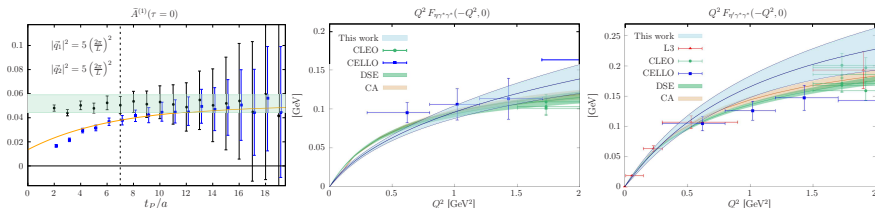
Result for pole contribution to a_μ^{HLbL} :

$$a_\mu^{\text{HLbL}, \eta} = 13.8(5.2)_{\text{stat}}(1.5)_{\text{sys}}[5.5]_{\text{total}} \times 10^{-11}$$

- Systematic errors estimated from fit variations (z-expansion, tail fits)
- Some residual dependence on time-separation t_η .
- Continuum limit, η' : work in progress...

BMW '23: $P \rightarrow \gamma\gamma$

Gerardin et al., arXiv:2305.04570



- Study of $\mathcal{F}_{\pi_0, \eta, \eta' \rightarrow \gamma^* \gamma^*}(Q_1^2, Q_2^2)$ with $N_f = 2 + 1 + 1$ stout-smearred staggered quarks.

- (Near-)physical quark masses, six values of $a \in [0.064 \dots 0.132]$ fm, $L = 3, 4, 6$ fm.

- State-projection for transition amplitude $\tilde{A}_{\mu\nu}^P(\tau) = \sum_{\mathbf{x}} \langle 0 | J_\mu(\mathbf{x}, \tau) J_\nu(\mathbf{0}, 0) | n(\mathbf{p}) \rangle e^{-i\mathbf{q}_1 \cdot \mathbf{x}}$

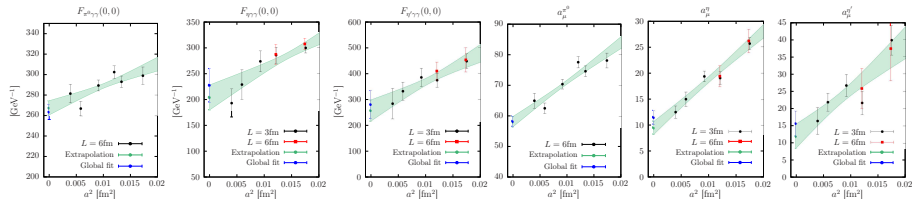
$$\tilde{A}_{\mu\nu}^\eta = \cos^2 \phi \frac{C_{\mu\nu}^8}{T_\eta^8} + \sin^2 \phi \frac{C_{\mu\nu}^0}{T_\eta^0}, \quad \tilde{A}_{\mu\nu}^{\eta'} = \sin^2 \phi \frac{C_{\mu\nu}^8}{T_{\eta'}^8} + \cos^2 \phi \frac{C_{\mu\nu}^0}{T_{\eta'}^0}, \quad \text{where } T_P^i = \frac{Z_P^i}{2E_P} e^{-E_P(t_f - t_0)}$$

using E_P , Z_P^i ($\tan^2 \phi = -(Z_{\eta'}^8 Z_\eta^0)/(Z_\eta^8 Z_{\eta'}^0)$) from a fit to the 2pt correlation function matrix.

However: dedicated spectroscopy analysis yet to be published...

BMW '23: $P \rightarrow \gamma\gamma$ - continuum limit

Gerardin et al., arXiv:2305.04570



Physical results for decay widths and pole contributions to a_{μ} :

$$\Gamma[\pi^0 \rightarrow \gamma\gamma] = 7.11(44)_{\text{stat}}(21)_{\text{sys}} \text{eV},$$

$$a_{\mu}^{\text{HLbL}, \pi} = 57.8(1.8)_{\text{stat}}(0.9)_{\text{stat}} \times 10^{-11},$$

$$\Gamma[\eta \rightarrow \gamma\gamma] = 388(94)_{\text{stat}}(35)_{\text{sys}} \text{eV},$$

$$a_{\mu}^{\text{HLbL}, \eta} = 11.6(1.6)_{\text{stat}}(0.5)_{\text{stat}}(1.1)_{\text{FSE}} \times 10^{-11},$$

$$\Gamma[\eta' \rightarrow \gamma\gamma] = 3.4(1.0)_{\text{stat}}(0.4)_{\text{sys}} \text{keV},$$

$$a_{\mu}^{\text{HLbL}, \eta'} = 15.7(3.9)_{\text{stat}}(1.1)_{\text{stat}}(1.3)_{\text{FSE}} \times 10^{-11}.$$

Disclaimer: Results not yet published.

$$a_{\mu}^{\text{HLbL}, P} = 85.1(4.7)_{\text{stat}}(2.3)_{\text{sys}} \cdot 10^{-11}$$

- $\Gamma[\eta \rightarrow \gamma\gamma]$ agrees with ETMC, similar tension $\lesssim 2\sigma$ with experiment.
- $a_{\mu}^{\text{HLbL}, \eta}$: some tension with whitepaper estimate $a_{\mu}^{\text{HLbL}, \eta} = 16.3(1.4) \times 10^{-11}$ Masjuan et al., PRD 95, 054026 (2017) mostly due to data at momenta $< 0.5 \text{GeV}^2$
- Good agreement for $a_{\mu}^{\text{HLbL}, \eta'}$, i.e. $a_{\mu}^{\text{HLbL}, \eta'} = 14.5(1.9) \times 10^{-11}$

Summary and outlook

- LQCD studies of η, η' have made tremendous progress in the last decade, but remain very challenging.
- **Physical extrapolations with controlled systematics have become state-of-the-art.**
- Physical results for masses with $\lesssim 2\%$ error, agreement with experiment
- Matrix elements / mixing parameters and decay constants from first principles with very competitive precision
- Large- N_c χ PT can be used to describe / extrapolate lattice data.
 - LECs can be determined, including scale dependence
 - However, some tension remains...
- Simulations directly at physical quark mass now feasible.
 - Direct continuum extrapolations
 - Remove need for chiral extrapolation entirely
- First studies beyond masses and mixing: TFFs; more will follow.

Backup slides

Effects of topology in finite volume (I)

In **finite volume** and at **fixed top. charge** Q_t

$$\langle \omega(x) \omega(0) \rangle_{Q_t=\text{fixed}} \rightarrow \frac{1}{V} \left(\chi_t - \frac{Q_t^2}{V} + \frac{c_4}{2V\chi_t} \right) + \dots,$$

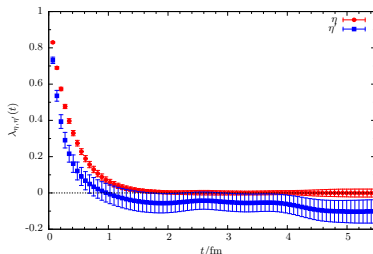
for correlators of winding number densities $\omega(x)$ at large $|x|$.

S. Aoki et al., Phys.Rev. D76, 054508 (2007)

⇒ Expect **constant offset** in η' (η) correlator **at large t** :

$$\langle \lambda^{\eta'}(t) \rangle_{Q_t=\text{fixed}} \rightarrow \sim \frac{a^5}{T} \left(\chi_t - \frac{Q_t^2}{V} + \frac{c_4}{2V\chi_t} \right).$$

G. S. Bali et al., Phys.Rev. D91 (2015) 1, 014503

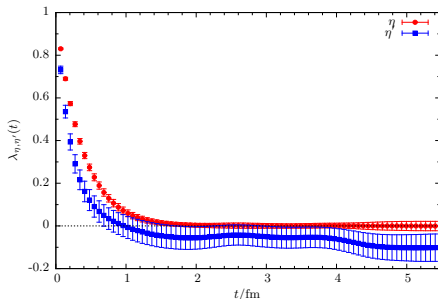


η, η' principal correlators

tmWilson+Clover, $M_\pi = 137 \text{ MeV}$, $a = 0.068 \text{ fm}$, $L \approx 5.4 \text{ fm}$

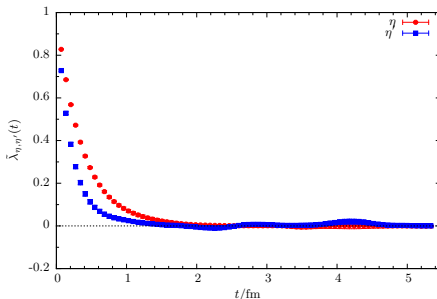
- Always present for finite volume + finite statistics.
- Offset usually compatible with zero within very large statistical point errors.
- (Correlated) Noise in η' -signal largely due to fluctuation + autocorrelation of this constant.
- Causes issues for correlated fits / solving GEVPs.

Effects of topology in finite volume (II)



η, η' principal correlators

tmWilson+Clover, $M_\pi = 137$ MeV, $a = 0.068$ fm, $L \approx 5.4$ fm



Same, but from time-derivative

Simple but efficient way to correct for this effect: [PRD 97, 054508 \(2018\)](#)

- Remove constant using discrete time-derivative correlator:

$$C(t) \rightarrow \tilde{C}(t) = C(t) - C(t + \Delta t)$$

- Resulting data are much less correlated, much smaller point errors.
- Further analysis (GEVP, physical extrapolation) can be carried out in the standard way.

However: η' signal still lost around $t \approx 1$ fm